

07/19/01  
11044 U.S. PTO

7-20-01  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION  
Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Hon. Commissioner of Patents  
Washington, D.C. 20231

WITH SIGNED DECLARATION

NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE

\*00909\*  
00909

11044 U.S. PTO  
09/909396  
07/19/01

Sir:

Herewith is the PATENT APPLICATION of

Inventor(s): Norio FUKUDA; Shinya NAKAMURA; Hiyoyuki  
YAMADA; Akira MAKITA; Tsutomu HATANO; Nobuaki  
KANAYAMA; and Takahito AOKI

Title MAGNETOSTRICTION CONTROL ALLOY SHEET, A PART  
OF A BRAUN TUBE, AND A MANUFACTURING METHOD  
FOR MAGNETOSTRICTION CONTROL ALLOY SHEET

Atty. Dkt.: P 027 7008 | H7542US  
M# Client Ref

including:

Date: July 19, 2001

1. Specification: 16 pages (only spec. and claims) 2. ☐ Specification in non-English language  
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 7 numbered claims  
4. ☒ Drawings: 1 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"  
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not  
complete item 6 or 7). (Prior M# \_\_\_\_\_ SN \_\_\_\_\_ )  
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application  
by this reference:

No. <u>/</u>	Filed _____
No. <u>/</u>	Filed _____
No. <u>/</u>	Filed _____
No. PCT/US <u>/</u>	Filed _____, Which

designated the US and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English

7. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US  
application(s)  
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.  
9. ☒ Assignee (optional): Yamaha Metanix Corporation and DAI Nippon Printing Co., Ltd.

Address \_\_\_\_\_

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. \_\_\_\_\_ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-222335	July 24, 2000	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

12. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. / filed on \_\_\_\_\_  
13. Small entity status ☒ is not claimed; ☐ is claimed (Pre-filing confirmation required)  
13(a). ☐ Attached: \_\_\_\_\_ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)  
13(b). ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (Pat-258)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$710/\$355	\$710	101/201
19. Total Effective Claims	7	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
20. Independent Claims	2	minus 3 =	*0	x \$80/\$40 =	+ 0	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$270/\$135	+ 0	104/204
22. TOTAL FILING FEE ENCLOSED =					\$710	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE ENCLOSED =					\$750	

Our Deposit Account No. 03-3975

Our Order No. 051270 | 027 7008

C# M#

**\*00909\***

**00909**

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

**Pillsbury Winthrop LLP  
Intellectual Property Group**

By Atty: Roger R. Wise

Reg. No. 31204

Sig:

*Roger R. Wise*

Fax: (213) 629-1033  
Tel: (213) 488-7584

Atty/Sec: RRW/JES

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

PATENT  
027 7008

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
FUKUDA, et al.

Group No.: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: July 19, 2001

For: MAGNETOSTRICTION CONTROL ALLOY SHEET, A  
PART OF A BRAUN TUBE, AND A MANUFACTURING  
METHOD FOR MAGNETOSTRICTION CONTROL ALLOY  
SHEET

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 724 027 585 US

Date of Deposit: July 19, 2001

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

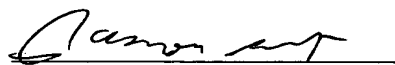
I hereby certify that

- ☒ Letter of transmittal
- ☒ Patent application (16 pages of specification; 7 claims; 1 pages of abstract)
- ☒ Checks in the amount of \$710 to cover the filing fee
- ☒ An Assignment of the Invention with Cover Sheet and \$40.00 Recordation Fee
- ☒ A Declaration/Power of Attorney
- ☒ 1 sheet of formal drawings
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231.

July 19, 2001  
Date of Deposit

  
Ramon Navarrete

0909396-071901